Docket No. 129-PCT-US

IN THE UNITED STATE PATENT AND TRADE MARK OFFICE

Applicant:

James Jaquith et al

Art unit:

Not yet assigned

Serial No:

Not yet Assigned

Examiner:

Not yet assigned

Filed:

Customer No:

49580

Title:

Neuroprotective Benzo[1,3]Oxathiol-2-Ones

Mail Stop Patent Application **Commissioner for Patents** P.O. Box 1450 Alexandria, Virginia USA 22313-1450

INFORMATION DISCLOSURE STATEMENT

This statement is being filed with the application. Applicants submit the enclosed PTO 1449 form identifying twelve (12) references. Under 35 USC § 120, this application relies on the earlier filing date of application serial number PCT/CA2004/001421 filed July 29 2004.

Submission of this statement is not a representation that a search has been made, nor is the inclusion of information in this statement an admission that the information is material to patentability.

Respectfully submitted,

Date: January 16, 2006

Registration No: 55,978

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LAP15 Res'd PCT/PTO 18 JAN 2006

PTO/SB/08A (07-05) Approved for use through 07/31/2006. OMB 0651-0031

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Complete if Known 🧍 Substitute for form 1449/PTO **Application Number** PCT/CA2004/001421 Filing Date INFORMATION DISCLOSURE First Named Inventor James B. Jaquith STATEMENT BY APPLICANT Art Unit (Use as many sheets as necessary)

Examiner Name

130-PCT-US of 2,886,488 Attorney Docket Number 129-PCT-US U. S. PATENT DOCUMENTS Name of Patentee or Examiner Cite **Document Number Publication Date** Pages, Columns, Lines, Where MM-DD-YYYY Applicant of Cited Document Initials* Relevant Passages or Relevant Figures Appear Number-Kind Code^{2 (if known)} ^{US-} 4,349,685 09-14-1982 Dunbar, J.E. ^{US-} 3.749,736 07-31-1973 Diekman, J.D. et al US- 2,886,488 05-12-1959 Berg, A. et al US- 2,547,843 04-03-1951 Von Glahn, W. H. et al US- 2,332,418 10-19-1943 Werner et al US-US-US-US-US-US-US-US-US-US-US-US-US-

Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	Τ
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY			1
		JP 05339110 A	12-21-1993	Asahi Denko Kogyo		
		JP 600006680 A	01-14-1985	Showa Denko KK		
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Examiner Signature	Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Code USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INF	ORMATION	DIS	CLOSURE	Filing Date		
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	James B. Jaquith	
				Art Unit		
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Sheet	2	of	2	Attorney Docket Number	129-US-PCT	

-	1 0:4-	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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Examiner	Date	1
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: